THE HONORABLE RONALD B. LEIGHTON

#### UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE

MARLENE ELLIOTT, a single individual,

Plaintiff,

v.

UNITED PARCEL SERVICE, INC., a foreign corporation,

Defendant.

No. C07-05453 RBL

DECLARATION OF LAURA M. SOLIS IN SUPPORT OF DEFENDANT UNITED PARCEL SERVICE, INC.'S MOTION TO DISMISS PLAINTIFF'S CLAIMS WITH PREJUDICE

#### I, LAURA M. SOLIS, declare and state as follows:

- 1. I am one of the attorneys at Perkins Coie, LL representing United Parcel Service, Inc. ("UPS") in this case, and make this declaration based on my personal knowledge of the facts set forth herein.
- 2. While plaintiff Marlene Elliott ("plaintiff") was still represented by counsel, UPS and plaintiff exchanged initial disclosures and written discovery requests pursuant to the Court's discovery orders. UPS complied with all of plaintiff's discovery requests in a timely manner and produced all relevant documents, except as protected by the attorney-client privilege and/or the work product doctrine, or filed appropriate objections to plaintiff's discovery requests. Plaintiff's answers and responses to UPS's discovery requests, however, were incomplete even when she was represented by counsel.

DEFENDANT UNITED PARCEL SERVICE, INC.'S MOTION TO DISMISS (NO. C07-05453 RBL) – 1 00895-1076/LEGAL15103677.1

Perkins Coie LLP 1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 Phone: 206.359.8000 Fax: 206.359.9000

- 3. The parties conducted mediation on April 18, 2008 pursuant to CR 39.1(c)(3) and the Court's scheduling order. The parties continued to engage in settlement discussions after mediation until plaintiff's attorneys abruptly moved to withdraw from the case on May 28, 2008.
- 4. On October 2, 2007, the arbitration entitled <u>In the Matter of the Arbitration</u>

  <u>between United Parcel Service and Marlene Elliott, Teamsters Local Union #174, FCMS No.</u>

  070604-57258-8 was held before Arbitrator David Gaba on plaintiff's grievance challenging her discharge. True and correct copies of excerpts of the Transcript of Proceedings from the arbitration are attached hereto as Exhibit A.
- 5. I received a copy of plaintiff's medical records from plaintiff's treating physician, Dr. Craig Arntz, in response to a records request. A true and correct copy of the February 21, 2007 chart note I received from Dr. Arntz's office is attached hereto as Exhibit B.
- 6. On September 12, 2008, UPS filed a motion to compel plaintiff's complete answers and responses to UPS discovery requests. UPS filed the motion after plaintiff had repeatedly refused to communicate with UPS concerning her failure to cooperate in discovery. These facts are more fully set forth in my declaration in support of UPS's Motion to Compel and the supporting exhibits thereto. I will summarize plaintiff's non-response for the Court's convenience here. On June 29, 2008, I sent a letter to plaintiff requesting complete responses to UPS's written discovery requests. Plaintiff did not respond to this letter. On August 22, 2008, I sent a second letter to plaintiff requesting that plaintiff provide the overdue discovery immediately. Although plaintiff received the letter on September 2, 2008, she did not respond. On August 28, 2008, I called plaintiff to discuss her overdue discovery. I left a voicemail message requesting that plaintiff call me to discuss plaintiff's overdue discovery responses. I further explained that UPS would seek sanctions if no response or contact was forthcoming. Plaintiff did not return my call. On August 29, 2008, I called plaintiff again to request a discovery conference. Someone answered plaintiff's phone and then hung up on me. I called back and left a voicemail message requesting an immediate conference. Plaintiff did not return

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my call. On August 29, 2008, I sent a letter to plaintiff requesting an immediate discovery conference. Plaintiff did not respond to my letter. On September 3, 2008, I sent a fourth letter to plaintiff requesting a Rule 37 conference. Plaintiff did not respond. The Court granted UPS's motion to compel on October 6, 2008.

- 7. UPS noted plaintiff's deposition for September 16, 2008. At 8:00 a.m., on the morning of her deposition, plaintiff called a receptionist at Perkins Coie claiming that she could not make the deposition because she was "having a heart attack." Before the receptionist could transfer the call to me or Mr. Reynvaan, plaintiff stated that she could not talk any longer "because she needed to get back on oxygen." At that time, we were not aware that on September 8, 2008, plaintiff had moved for an unlimited extension of time to find new counsel and had asked the Court postpone her September 16 deposition. We only received notification of the motion through the Court's electronic filing notification system on September 17, 2008. On September 16, 2008, we rescheduled plaintiff's deposition for Friday, September 19, 2008. I sent plaintiff a letter and left her a voicemail message requesting that she call us if she could not make the scheduled deposition. The next day, plaintiff called me and stated that she would not be attending the deposition on Friday. She did not mention any medical issues or sound as if she was in distress. When I asked why, she stated only "I can't miss any more work." When I asked about her future availability, she stated "I don't know when I'll be available."
- 8. On October 7, 2008, I sent a letter to plaintiff by regular and certified mail providing her with a copy of the Court's October 6, 2008 Order Granting Defendant's Motion to Compel and further warned plaintiff that she may be subject to sanctions if she did not comply with the Court's Order. A true and correct copy of the October 7, 2008 letter is attached hereto as Exhibit C.
- 9. On October 31, 2008, I called plaintiff regarding her failure to comply with the Court's Order compelling discovery. Plaintiff told me that she would provide discovery "when I get the time," cut me off by saying "Have a God blessed day," and then hung up the phone. I

DECLARATION IN SUPPORT OF DEFENDANT UNITED PARCEL SERVICE, INC.'S MOTION TO DISMISS (NO. C07-05453 RBL) – 3 00895-1076/LEGAL15103677.1

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Fax: 206.359.9000

sent another letter to plaintiff by regular and certified mail requesting that she comply with the Court's Order by November 7. Attached hereto as Exhibit D is a true and correct copy of the October 31, 2008 letter. Since her attorneys' withdrawal, plaintiff has not provided a single answer or document in response to UPS's written discovery requests, and therefore has not complied with the Court's October 6 Order.

- 10. Although UPS had still not received plaintiff's complete discovery responses, on December 10, 2008, UPS re-noted plaintiff's videotaped deposition for December 18, 2008. We sent plaintiff notice of her deposition by regular and certified mail. A copy of the notice of rescheduled videotaped deposition is attached hereto as Exhibit E. On December 18, plaintiff again failed to appear at Perkins Coie's office for her deposition. She did not contact our office either to inform counsel that she would not appear or to explain her failure to appear. To this date, plaintiff has not bothered to contact our office to explain her absence on December 18 or to try to reschedule her deposition.
- and December 18 depositions of plaintiff, as well as cancellation fees for the videographer and court reporter on each of these dates. Additional attorneys' fees will be incurred to prepare for plaintiff's deposition again. Most of these expenses would not have been necessary if plaintiff had cooperated in the discovery process, complied with this Court's Order compelling discovery, or communicated with UPS in a timely manner that she would not attend her depositions.

I declare under penalty of perjury under the laws of the State of Washington and the United States that the foregoing is true and correct.

Signed at Seattle, Washington, this 31 day of December, 2008.

Laura M. Solis

#### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that they served a copy of the foregoing DECLARATION OF LAURA M. SOLIS IN SUPPORT OF DEFENDANT UNITED PARCEL SERVICE, INC.'S MOTION TO DISMISS to the following via U.S. Mail, postage prepaid, before the hour of 5:00 pm, on December 31, 2008:

BY CERTIFIED MAIL RETURN RECEIPT REQUESTED AND FIRST CLASS MAIL

Ms. Marlene Elliott 30930 - 16th Place S.W., #C Federal Way, WA 98023 Attorneys for Plaintiff

DATED: December 31, 2008

s/ Michael T. Reynvaan, WSBA No. 12943

MReynvaan@perkinscoie.com Laura M. Solis, WSBA No. 36005 LSolis@perkinscoie.com **Perkins Coie LLP** 1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 Telephone: 206.359.8000

Attorneys for Defendant UNITED PARCEL SERVICE, INC.

Facsimile: 206.359.9000

00895-1076/LEGAL15103677.1

## Case 3:07-cv-05453-RBL Document 24 Filed 12/31/08 Page 6 of 36

Arbitration 10/2/07

	Page 1	
In the Matter of the Arbitration between	)	
United Parcel Service Service,	) ) ) FMCS No. 070604-57258-8	
Company,	) ) `	
and	)	
Marlene Elliott, Teamsters Local Union #174	) )	
Union,	)	
October 2, 2007 1201 Third Avenue, Suite 4800		
Seattle, Washington		
REPORTED BY:		
JOHANNA CHAPIN		

Declaration of L. Solis -6

CCR NO. 334 JOB NO. 662405

Exhibit A

## Case 3:07-cv-05453-RBL Document 24 Filed 12/31/08 Page 7 of 36

Arbitration 10/2/07

		Page 2
1		A-P-P-E-A-R-A-N-C-E-S
2	Arbitrator:	DAVID GABA Compass Law Group
4		1200 Fifth Avenue, Suite 1900 Seattle, Washington 98101
5		(206) 728-1110
6	For the Union:	
7		DAVID W. BALLEW Reid, Pedersen, McCarthy & Ballew, LLP
8		101 Elliott Avenue West, Suite 550 Seattle, Washington 98119
9		(206) 285-3610 David@rpmb.com
10		
11	For the Employer:	
12		MICHAEL T. REYNVAAN
13		Perkins Coie, LLP 1201 Third Avenue, Suite 4800
14		Seattle, Washington 98101 (206) 359-8469
15		Mreynvaan@perkinscoie.com
16 17		LAURA M. SOLIS
		Perkins Coie, LLP
18		1201 Third Avenue, Suite 4800 Seattle, Washington 98101
19		(206) 359-3207
20		Lsolis@perkinscoie.com
21	Also Present:	
22		MARLENE ELLIOTT BILL BYINGTON
23		MILT CRAFTON
24		SUSAN SANDOVAL TED BUNSTINE
25		MURRAY BOURQUE

Declaration of L. Solis -7

- 1 Q. What is it?
- 2 A. This is the decision from the five states
- 3 grievance panel in July of 2006 in which Marlene's
- 4 grievance regarding the air shuttle job, her claim for that
- 5 work, was denied.
- 6 Q. Okay. And this is -- you testified previously
- 7 about this grievance and that resolution, correct?
- 8 A. Yes.
- 9 MR. REYNVAAN: Okay. Move for the admission of 4.
- 10 ARBITRATOR GABA: Any objection?
- MR. BALLEW: No.
- 12 ARBITRATOR GABA: Employer 4 is admitted.
- 13 (Employer Exhibit 4 is admitted.)
- 14 Q. (By Mr. Reynvaan) Who ultimately made the
- decision to discharge Ms. Elliott?
- 16 A. That would be me.
- 17 Q. Okay. And why did you decide discharge was
- 18 appropriate?
- 19 A. Because, based on the evidence that I had in front
- of me, it was apparent that Marlene had indeed suggested to
- 21 the doctor that there was a deal and that the only way the
- deal would work is that if she were to receive a full
- 23 release.
- Q. And did she, in fact, receive a full release?
- A. Based on -- I mean, you're asking me. I look

Page 30 1 at -- she received a notice of full release, yes, that's 2 correct. 3 Q. Okay. That was --4 Α. Yes. 5 What is UPS's policy on honesty? Q. Okay. 6 Α. Well, it's a core value at UPS. It's found within 7 our policy book and it was -- and most policies were 8 largely established by our founder, Jim Casey. It's a core 9 value, and we do have a strict policy on honesty and 10 integrity. 11 Q. And do employees typically sign an honesty policy? 12 Α. Yes. 13 MR. REYNVAAN: Okay. I'd like this marked as 14 Company 5. 15 (Employer Exhibit 5 was marked.) ARBITRATOR GABA: 16 So marked. (By Mr. Reynvaan) Do you recognize -- do you 17 0. 18 recognize this document? 19 Yes, I do. Α. 20 Q. Okay. Did I give you the wrong number? 21 Α. Yes. 22 Q. Okay. What is that document? 23 Α. This is a document -- it's titled Honesty in Employment, and it establishes the policy book section on 24 25 honesty and integrity, and it also speaks to the

Page 31 consequences of dishonesty. 1 2 Does it appear to be signed by an employee? Ο. 3 Α. Yes, it is. And who does it appear to be signed by? Q. Marlene Elliott. Α. 6 Q. Is the company's philosophy and policy about --7 excuse me. 8 MR. REYNVAAN: I move for the admission of 9 Company 5. 10 ARBITRATOR GABA: Any objection? 11 MR. BALLEW: No. 12 ARBITRATOR GABA: Admitted. 13 (Employer Exhibit 5 was admitted.) 14 (By Mr. Reynvaan) Is that philosophy regarding Q. 15 honesty and truthfulness in the workplace also incorporated 16 in the Collective Bargaining Agreement? 17 Yes, it is. Α. 18 Q. How so? 19 One of the cardinal infractions listed in the Α. 20 Western Supplement, Article 28, Section 2, is proven 21 dishonesty, and that cardinal infraction is such that there 22 is no need for a prior letter of warning before a 23 termination action would be taken in cases of proven dishonesty. 24 25 This has already been stipulated. It's Joint 1. Q.

- 1 But just for purposes of clarification, could you point out
- 2 for the arbitrator where this section is in the Collective
- 3 Bargaining Agreement?
- A. Yes. In the first column, the third paragraph, it
- 5 lists the cardinal infractions, and proven dishonesty is
- 6 Subsection 2(a)(1).
- 7 Q. And what is the significance of something being a
- 8 cardinal infraction?
- 9 A. Our Collective Bargaining Unit Agreement requires
- 10 that there at least be a prior letter of warning before you
- 11 advance to a more severe discipline of suspension or
- 12 discharge. Furthermore, the National Master Agreement
- 13 requires that there is the assumption of innocent until
- 14 proven guilty, as it is referred to, and the employee would
- 15 remain on the job until the grievance machinery is
- 16 exhausted.
- In these cardinal infractions, the
- innocent-until-proven-guilty, remain-on-the-job requirement
- 19 does not remain, and nor is there the need for a warning
- 20 letter being in effect.
- Q. So are these considered -- is it fair to say these
- 22 are considered the most significant infractions at UPS?
- A. Absolutely.
- Q. And is the company's prohibition of dishonesty
- 25 applied in the discipline procedure for employees

- 1 represented by Teamsters Local 174?
- 2 A. Yes, it is.
- Q. Are you aware of other discharges based on
- 4 dishonesty that have taken place in the Washington
- 5 district?
- 6 A. Yes, I am.
- Q. Which cases are those?
- A. Well, there have been a number of proven
- 9 dishonesty cases, unfortunately. I only recall two,
- 10 though, that actually were deadlocked to arbitration. The
- 11 others were either sustained or mitigated in some way.
- Q. Do you recall the names of those cases?
- A. Yes. The -- when I first got to the district,
- 14 there was an employee out of our Puyallup building, her
- 15 name was Michelle Baker, and that case advanced to
- 16 arbitration where the claim of the union was denied and the
- discharge was upheld.
- 18 Q. Do you recall any other cases in the Washington
- 19 district?
- A. Yes. Out of our Everett facility, Local 38, an
- employee by the name of Justin Polson.
- Q. And what was the nature of dishonesty in that
- 23 case?
- A. In the Polson case, Juston was dishonest during an
- 25 investigatory interview. They were very serious

- 1 allegations, and he misrepresented himself during the
- 2 investigatory interview and was terminated under proven
- 3 dishonesty for his deception during the interview.
- Q. Did the arbitrator sustain that discharge?
- 5 A. Yes, the arbitrator did.
- Q. With regard to the discharge of Ms. Elliott, what
- 7 were the key factors leading to your decision that
- 8 discharge was appropriate?
- 9 A. The key factors obviously were that I -- in
- 10 viewing the chart notes the doctor prepared and having to
- 11 accept those as his record of that meeting, it was obvious
- 12 to me that her medical condition had not changed, that she
- 13 had proposed to him that there was a deal and, for her to
- 14 work out this deal and get into a lighter duty job, which
- she had attempted to do previously, that she would need a
- 16 full release.
- I knew that there was no deal in place, and I
- 18 considered that very serious, that an employee would
- 19 attempt to return to work without being medically cleared,
- 20 per se, but, instead, on the basis of a deal.
- Q. And would -- in your experience, would the company
- 22 make any deal that would allow an injured employee to
- 23 return to work?
- MR. BALLEW: Well, we already objected to that
- 25 question, but...

	Page 103	
1	ARBITRATOR GABA: How long do you guys want?	
2	MR. REYNVAAN: It's up to you guys. An hour?	
3	MR. BALLEW: Okay.	
4	MR. REYNVAAN: Is that all right?	
5	MR. BALLEW: Yeah.	
6	ARBITRATOR GABA: We'll see you guys back here at	
7	one o'clock.	
8	(Lunch recess was taken from	
9	11:57 a.m. to 1:00 p.m.)	
10	* * *	
11		
12	MR. REYNVAAN: The company calls Milt Crafton.	
13		
14	MILT CRAFTON,	
15	having been first duly sworn, was examined and testified	
16	as follows:	
17		
18	D-I-R-E-C-T E-X-A-M-I-N-A-T-I-O-N	
19	BY MR. REYNVAAN:	
20	Q. Mr. Crafton, would you please state and spell your	
21	name for the record.	
22	A. Milt Crafton, M-i-l-t, C-r-a-f-t-o-n.	
23	Q. And would you briefly outline your work experience	
24	at UPS.	
25	A. Well, I started with UPS in Christmas season of	

- 1 1981, package car driver for just about six years, was
- 2 promoted into management after that, held various positions
- $\beta$  in operations of center, manager positions in hub,
- 4 industrial engineering, a little bit of HR, until my most
- 5 current position in risk management.
- 6 Q. And in your current position, what are your
- 7 responsibilities?
- 8 A. I'm responsible for the Washington district
- 9 workers' comp claims. I oversee the management of those
- 10 cases to our third-party administrator. I direct in daily
- 11 dealing with our third-party administrator, Gallagher
- 12 Bassett. As a self-insured company, they handle our
- 13 claims. I work with the Department of Labor, with our
- 14 attorneys, and also with occasionally the vendors and the
- doctors associated with the cases.
- 16 Q. Do you have any responsibility for tracking
- 17 individual cases?
- 18 A. Yes. I track all workers' comp cases.
- 19 Q. Would you just give a quick overview of UPS'
- 20 workers' compensation system, just basically the -- how
- 21 that system works, very briefly?
- A. Well, if someone is injured and they have a claim,
- 23 the goal is to get that person the best medical treatment
- and care and get them up to a situation where they're MMI,
- 25 which is maximum medical improvement. At that point it may

- Q. (By Mr. Reynvaan) And did she keep that appointment?
- A. She didn't go to that appointment. She came in on March 2nd, on an unscheduled appointment.
- Q. And who did she meet with at that time?
- 6 A. She saw Dr. Deshpande.
- Q. Okay. At that point in time, meaning on the 26th
- 8 when Ms. Sandoval called you, did you do anything else?
- 9 A. Well, I was concerned that there was a return to
- 10 work note releasing Marlene to full duty with no
- 11 restrictions, because it was my understanding that her
- 12 medical condition was not in place for her to return back
- 13 to work. So I called Gallagher Bassett, and I talked to
- 14 Thomas Pak, and I asked -- he was the claims examiner. I
- asked him to contact Dr. Arntz' office and see if we could
- 16 get copies of the chart notes and the return to work note.
- 17 ARBITRATOR GABA: I'm going to slow you down.
- 18 Gallagher Bassett, you talked to Thomas -- give me that
- 19 last name.
- THE WITNESS: Pak.
- 21 ARBITRATOR GABA: Spell it for me.
- THE WITNESS: P-a-k.
- 23 ARBITRATOR GABA: Thank you. Sorry, Counsel.
- MR. REYNVAAN: Oh, that's fine.
- Q. (By Mr. Reynvaan) Was that your typical practice

Page 138 1 questions. 2 MR. REYNVAAN: Oh, I'm sorry. 3 R-E-D-I-R-E-C-T E-X-A-M-I-N-A-T-I-O-N 5 BY MR. REYNVAAN: 6 Mr. Crafton, you testified about Ms. Elliott Q. 7 returning to work during the time frame 1/19/2004, date of 8 her injury, and the date of discharge on 3/15/07, correct? 9 Α. Yes. 10 Q. And I believe you testified she was reinjured 11 during that time frame? 12 Α. Correct. 13 Q. Do you know how many days -- total days of time loss she received during that time frame? 14 15 Α. The entire time frame? 16 Yes, between injury and the date of discharge. Q. 17 Α. It was just over 950 days. 18 Would you please refer to -- I just want to ask Ο. 19 you a question about Company No. 6. That's the Return to 20 Work Authorization, March 6, '07? 21 Α. Yes. 22 Q. I thought you testified on direct examination that the only document you received back from Dr. Deshpande was 23 24 a car wash job description. But didn't you also receive 25 this?

		Page 144
1	Q.	And is that part of your normal process?
2	Α.	Yes.
3	Q.	And that was done in this case?
4	Α.	Yes, it was.
5	Q.	And following Dr. Bays' receipt of the PCE by
6	Dr. Beck	er, what did
7	Α.	He amended his opinion on Ms. Elliott's return to
8	work cap	abilities.
9	Q.	And is that what's represented in Company 10?
10	A.	That is what's represented by this document.
11		MR. REYNVAAN: Okay. I move for the admission of
12	Company	10.
13		ARBITRATOR GABA: Any objection?
14		MR. BALLEW: The same, its relevance.
15		ARBITRATOR GABA: Overruled.
16		(Employer Exhibit 10 was admitted.)
17	Q.	(By Mr. Reynvaan) What was Mr. Crafton, what
18	was the	final resolution of Ms. Elliott's thumb injury
19	claim?	
20	A.	By preponderance of medical objective medical
21	evidence	, she was found fixed and stable with a permanent
22	and part	ial disability, given an impairment rating and
23	ordered	an impairment payment, and the claim was closed on
24	July 2,	2007.
25	Q.	Is the claim basically over, concluded?

- 1 A. Supervisor.
- Q. And how long have you had that position?
- 3 A. One month.
- Q. And, now, can you give me a brief history of your
- 5 employment at UPS.
- 6 A. I started in 1985 as a person who was a package
- 7 car preloader. I was a part-time supervisor. I drove a
- 8 package car for four years. I had numerous operations
- 9 assignments throughout our district, and I was in the
- 10 industrial engineering department. I was a manager in
- 11 Tumwater, Puyallup, and I am now in my current assignment
- 12 in Tacoma.
- 13 Q. And as center manager for the Puyallup center, can
- 14 you tell me what your responsibilities were?
- 15 A. Basically just overseeing the operations of the
- 16 drivers and part-time local sorters for the entire
- operation of Puyallup.
- 18 Q. While you were at the Puyallup center, did you
- 19 know Marlene Elliott?
- 20 A. Very little. She was gone most of the time that I
- 21 was manager there.
- 22 Q. And why was she gone most of the time?
- A. She was off on comp, although she did return
- 24 enough that we did have contact with each other.
- Q. Okay. And what was her job at that time?

- A. It was Article 22.3, unloading the package cars at night and then washing them.
- Q. Okay. On or about February 26, 2007, what did you understand Ms. Elliott's work status to be?
- A. She was still off on comp, and, as far as I knew,
  we were still making contact with her once a week, and that
  was with my full-time supervisor, Dave Breitenbach.
- Q. Okay. And did she report to work at the Puyallup center sometime on or around February 26, 2007?
- A. Yeah. That was on a Monday. And she came in,

  clocked in, and then I had no idea she was there until a

  supervisor came up to me and said, Hey, Marlene is here to

  work. And I asked her to come into my office. She said

  that she wasn't going to talk to me until her start time,

  and then after start time I brought her into the office.
- 16 Should I go on?
- Q. Okay. No, that's okay.
- What is the company's policy when an employee returns from workers' comp leave?
- 20 A. Normally I would know about it ahead of time. The
  21 employee would call, let me know that they were released to
  22 work. And at that point I usually -- I would call either
- 23 Milt or Mike Lucke in our safety department to confirm that
- 24 that person is ready to return to work, and then on the
- 25 first day they would go through safety training, return to

- 1 the airport to pick up packages from Alaska Airlines, bring
- them back to the hub, BFI, Boeing Airfield, and then they
- 3 would sort them out as to who would be delivering them
- 4 where, and you would deliver the packages, and you would
- 5 report back.
- 6 Q. And was that full time?
- 7 A. That was part time.
- Q. Part time. Okay. How long did you do that
- 9 part-time job?
- 10 A. Roughly about five years.
- 11 Q. Okay. And you started that in 2001?
- 12 A. No. I had started that -- driving in, I'd say,
- 13 **'97, '98**.
- Q. Okay. We've heard about a combination job, a 22.3
- 15 **job?**
- 16 A. Yeah, Article 22 job.
- 17 Q. All right. Did you have one of those jobs?
- 18 A. Yes, I was offered an Article 22 job.
- 19 Q. And is that when you went full time?
- 20 **A. Yes.**
- Q. When was that?
- A. I would say 2001, I think.
- Q. Okay. And the 22.3, it's a combined two part-time
- jobs to make a full-time position?
- 25 **A. Yes.**

- Q. And what were your two combined part-time jobs?
- A. When I first went to the building, I was an
- 3 unloader of trailers. Those are the large trailers that
- 4 you see the trucks driving around with the tractor
- 5 trailers. They back them up to a dock, open the door, you
- 6 unload the contents, make sure their labels are faced up so
- 7 they can go through the system, and continue to unload
- 8 until there's nothing else to unload.
- 9 Q. So is that half of your combo job?
- 10 **A.** Yes.
- 11 O. What was the other half?
- 12 A. The other half was car wash.
- Q. Okay. So that was your first combo job as of
- 14 **2001**.
- 15 **A.** Yes.
- Q. Did you ever do any other combo job?
- 17 A. Yes. I ran the air shuttle from the Pacific
- 18 Building up to BFI, Boeing Airfield.
- 19 Q. And was that half of a full-time job?
- 20 **A. Yes.**
- 21 Q. What was the other half?
- A. Basically, it wasn't even half of a full-time job.
- 23 You take the air shuttle up. I was due back on the
- 24 property at 7:00, which is usually about their break time,
- 25 no later than 7:15. When I'm done, I'm supposed to report

- 1 to Dave Breitenbach to see if there's any work to be done
- 2 in Metro, which is where the trucks, the small trucks -- I
- don't mean small, but some of the -- all of the brown
- 4 trucks are backed up and they're unloaded, to see if they
- 5 need any help, making sure that the lines, which are -- 1
- 6 through 4 is how they're numbered, 100, 200, 300, 400 --
- 7 and you make sure all of the trucks are empty. On Fridays
- 8 you make sure all the packages which are sending-ins are
- 9 taken off and stuck down below.
- 10 Q. And when you mentioned 7 o'clock, is that morning
- 11 or evening?
- 12 A. Okay. Evening, it would be 1900 hours.
- 13 Q. Okay. So was that air shuttle work, was that
- 14 after you'd already started as the trailer unload/car wash?
- 15 **A.** Yes.
- 16 Q. And so when did that take place?
- A. Roughly in a year. I don't recall the year, Dave.
- 18 It came up with me with Marty, and another union person
- 19 asked me did I want to do that air shuttle run because
- 20 Marty, who was doing it, didn't want to do it anymore.
- Q. Marty was a coworker?
- A. Marty is a coworker, another combination worker.
- Q. Okay. How long did you do the air shuttle work
- 24 for?
- A. I'd say over a year and a half. I'm not really

- 1 sure.
- Q. Let me get us to 2004 for a sec. Did you suffer
- 3 an on-the-job injury to your thumb that year?
- A. Yes, I did, my right thumb.
- 5 Q. What position were you in?
- 6 A. I was working -- I just came back from air
- 7 shuttle. I was told to go to the front to help unload a
- 8 trailer. I walked into the trailer, started unloading, and
- 9 the load shifted, and the boxes fell on me.
- 10 Q. On your thumb?
- 11 A. On my -- yeah, well, my whole body but the
- majority of it on my thumb.
- 13 Q. And then what happened to your thumb?
- 14 A. It swelled up. I reported to the supervisor that
- 15 was standing there and another employee that my thumb was
- 16 hurting. The supervisor stated there was nothing wrong
- 17 with it, it's okay, just get back to work. I replied and
- 18 requested, Can I have some ice? At that time they told me
- 19 I had to fill out an L & I claim. I filled out the L & I
- 20 claim with Sheila, and I was taken --
- Q. Who's Sheila?
- 22 A. Sheila was the safety manager.
- 23 **Q.** Okay.
- A. She filled out with you all these UPS L & I
- 25 claims, so if you get injured, if you get a paper cut, you

Page 260 1 you directly to get this? 2 Α. Correct. 3 MR. REYNVAAN: Okay. Thank you. Nothing further. 4 MR. BALLEW: ARBITRATOR GABA: Ma'am, thank you very much. 5 6 THE WITNESS: Thank you. 7 ARBITRATOR GABA: Mr. Ballew, your next witness. 8 MR. BALLEW: Can we take a short break? 9 ARBITRATOR GABA: Absolutely, sir. 10 (A brief break was taken.) 11 ARBITRATOR GABA: Okay. Back on the record. 12 Mr. Ballew, any further witnesses? 13 MR. BALLEW: Yeah, Ted Bunstine. 14 15 16 17 TED BUNSTINE, 18 having been first duly sworn, was examined and testified 19 as follows: 20 21 D-I-R-E-C-T E-X-A-M-I-N-A-T-T-O-N 22 BY MR. BALLEW: 23 Ted, could you spell your name for the court Q. reporter. 24 25 Α. Ted Bunstine, B-u-n-s-t-i-n-e.

Page 261 1 Q. And are you employed by Local 174? 2 Α. Yes, I am. 3 Q. In what capacity? 4 Α. I am a business agent and elected president. 5 Q. How long have you held those positions. 6 Α. On and off since 1995. 7 Ο. And when you were not filling those positions, 8 were you employed at UPS? 9 Α. Yes, I was. 10 Q. In what capacity? 11 Α. I've been employed at UPS since 1979 for ten years 12 as a package car driver and from 1989 on as a feeder 13 driver. 14 0. Okay. As your duties as a business agent, were 15 you involved at all in this grievance over termination of 16 Marlene Elliott? 17 Α. Yes, I was. 18 0. Did you in that capacity attend a center-level 19 hearing? 20 Yes, I did. Α. 21 Q. What is in the process? What's a center-level 22 hearing? Is that a first step? How can you explain that 23 for us? 24 Α. The center-level hearing would be the first step 25 in the grievance procedure after a grievance is filed.

- 1 involved in this case, did Dr. Arntz ever recant his
- 2 statement -- the statement in the chart notes that
- 3 Ms. Elliott told him that there was a deal to get her back
- 4 to work?
- 5 A. Did he recant his statement?
- Q. Yeah, did he ever recant it? Did he ever say, Oh,
- 7 that is not what she told me?
- 8 A. I'm not sure if I know what you're referring to.
- Q. Okay. Well, take a look at Company 3. It's a
- 10 one-page document.
- 11 A. Okay.
- 12 **Q.** 2/21/07.
- 13 A. Okay.
- Q. And under History it says -- in the notes it says,
- 15 "Apparently she has worked out a 'deal'," in quotes, "where
- if she goes back to work, her union will ultimately help
- 17 her get into a lighter job."
- 18 First, were you aware of any such deal?
- 19 A. No.
- Q. Was any such deal ever made?
- A. Not that I'm aware of.
- Q. Okay. My question is, in this case, including
- 23 getting additional chart notes from Dr. Arntz, did he ever
- 24 state that he made a mistake in writing down this, that she
- 25 had worked out a deal?

1	Page 298 CERTIFICATE	
2		
3	STATE OF WASHINGTON )	
4	COUNTY OF KING )	
5	I, the undersigned Certified Court Reporter and an	
6	officer of the Court under my commission as a Notary Public	
7	for the State of Washington, hereby certify that the	
8	foregoing arbitration was taken before me on October 2,	
9	2007, and transcribed under my direction;	
10	That the witnesses were duly sworn to testify	
11	truthfully; that the transcript of the arbitration is a	
12	full, true, and correct transcript to the best of my	
13	ability; that I am neither attorney for, nor a relative or	
1.4	employee of, any of the parties to the action or any	
15	attorney or counsel employed by the parties hereto, nor	
16	financially interested in its outcome.	
17	IN WITNESS WHEREOF, I have hereunto set my hand and	
18	seal this 15th day of October, 2007.	
19		
20	manning to the second of the s	
21	SION EXAMINATION OF THE PROPERTY OF THE PROPER	
22	O VALUE OF THE PROPERTY OF THE	
23	ADDONNA COM	
24	Johanna Chapin, #334, Ndtary Public in and for the State of Washington,	
25	residing at Seattle, Washington.	



## Valley Orthopedic Associates

4011 Talbot Road South, #300, Renton, WA 98055 Phone 425-656-5060 • Fax 425-656-5047

**ELLIOTT, MARLENE A.** 

SI: Gallagher Bassett Services
DOI: 01/19/04 - Right Thumb

EMP: United Parcel Services

CLM: W934004

February 21, 2007

**FOLLOW-UP** 

#### **DIAGNOSIS:**

1. Status post right thumb MP joint arthrodesis; 6/17/2005.

2. Status post hardware removal, extensor tenolysis and capsulotomy, right thumb IP joint; 1/17/2006.

3. Residual problems of diminished right thumb IP joint range of motion and right thumb function.

HISTORY: Marlene returns for followup. She continues to be troubled by problems of chronic right thumb pain and stiffness. She has been in contact with her union. Apparently she has worked out a "deal", where if she goes back to work her union will ultimately help her get into a lighter job. She informs me that before they can do this however I will need to clear her to return to work without restriction.

I today reviewed job analyses for a "courier" position as well as a "driver" position. The patient would like to be cleared for both of these positions and we will therefore do so today.

PHYSICAL EXAMINATION: Right hand and wrist examination: The patient's right thumb symptoms and findings on examination are found to be without significant change from her visit here on 1/4/2007. Her surgical wounds are well healed. Her right thumb MP joint fusion appears to be quite solid and to be in good overall position. Her right thumb IP joint range of motion ranges through an arc of about 0°-45° of flexion and is stable. Her right thumb basilar joint is mobile and stable. Her distal sensory, motor and vascular function is normal with the exception of an area of sensory loss over the dorsum of her thumb MP joint and proximal phalanx. Her palmar sensation is within normal limits.



1. Solid right thumb MP joint.

2. Moderate stiffness, right thumb IP joint.

3. Problem of diminished sensation and chronic discomfort, right thumb.

**DISCUSSION:** As noted above, we will today clear the patient to return to the job positions outlined above. We will clear her to return to full duty without restriction as of 2/26/2007. I renewed a prescription for Naprosyn, 500 mg po B.I.D. The patient will discontinue this if she has any GI or other side effects from its use. Her condition is not yet fixed and stable. She will follow up with Greg Parker, PA-C in approximately four weeks. I will see her back in follow up in eight weeks. We will see her back before this if any new problems or concerns arise.

Craig T. Arntz, M.D. Dictated but not reviewed.

**CTA/clc** 

cc. Gallagher Bassett Services

24-

Exhibit B

Declaration of L. Solis -29

Page 1 of 1

MED 0591

Elliott v. UPS



1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 PHONE: 206.359.8000

> fax: 206.359.9000 www.perkinscoie.com

Laura M. Solis
PHONE (206) 359-3207

FAX (206) 359-4207

EMAIL LSolis@perkinscole.com

October 7, 2008

## CERTIFIED MAIL, RETURN RECEIPT REQUESTED AND REGULAR MAIL

Ms. Marlene Elliott 30930 - 16th Place S.W., #C Federal Way, WA 98023

Re: Elliott v. United Parcel Service, Inc.

Dear Ms. Elliott:

I am enclosing the Court's order of October 6, 2008 compelling you to respond to United Parcel Service, Inc.'s First Request for Production of Documents and Second Requests for Production of Documents pursuant to Fed. R. Civ. P. 37(a). We are enclosing another copy of these discovery requests for your convenience.

Please provide your complete responses as soon as possible.

Very truly yours,

aura Ao

Laura M. Solis

LMS:sh Enclosures

cc: Michael T. Reynvaan

Declaration of L. Solis -30

**Exhibit C** 

00895-1076/LEGAL14742014.1



1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 PHONE: 206.359.8000 FAX: 206.359.9000 www.perkinscoie.com

Laura M. Solis
PHONE (206) 359-3207

FAX (206) 359-4207

EMAIL LSolis@perkinscoie.com

October 31, 2008

# CERTIFIED MAIL: RETURN RECEIPT REQUESTED AND FIRST CLASS MAIL

Ms. Marlene Elliott 30930 - 16th Place S.W., #C Federal Way, WA 98023

Re: Elliott v. UPS

Dear Ms. Elliott:

This letter will follow up on our telephone conference this morning regarding your failure to respond to UPS's First Requests for Answers to Interrogatories and For Production of Documents and Second Requests for Production of Documents. As you know, on October 6, 2008, the Court ordered you to provide complete answers and responses to these discovery requests. On October 7, 2008, we sent you a letter containing a copy of the Court's order and requested your immediate compliance with the Order.

I called you this morning to let you know that we need to have your complete answers and responses as soon as possible. You told me that you would respond "when I get the time," but refused to state a date or even an estimated time frame. Unfortunately, you cut me off and hung up before we could finish the conversation.

We request that you comply with the Court's order by November 7, 2008. Your refusal to communicate with us regarding your discovery obligations is inappropriate, and violates a direct Court order. If we do not receive your complete answers and responses by November 7, we will be forced to renew our request to the Court for sanctions.

## Declaration of L. Solis -31

#### Exhibit D

00895-1076/LEGAL14865504.1

Ms. Marlene Elliott October 31, 2008 Page 2

Also enclosed is a copy of Defendant's Requests for Admissions to Plaintiff. Your responses to Defendant's Requests for Admissions will be due within 30 days. Your failure respond within the required time will result in the questions being deemed admitted.

Very truly yours, Yauna M.Leli'

Laura M. Solis

LMS:sh Enclosure

cc: Michael T. Reynvaan

7 86 p	· · · · · · · · · · · · · · · · · · ·	
SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY	
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul>	A. Signature  Agent  Addressee  B. Aeceived by (Printed Name)  C. Date of Delivery	
Article Addressed to:	D. Is delivery address different from item 1? Yes  If YES, enter delivery address below: No	
Marlene Elliott 30930 - 16th Pl. SW, #C Federal Way, WA 98023		
_	3. Service Type  ☐ Certified Mail ☐ Express Mail ☐ Registered ☐ Return Receipt for Merchandise ☐ Insured Mail ☐ C.O.D.	
	4. Restricted Delivery? (Extra Fee) ☐ Yes	
2. Article Number 7004 135 (Transfer from service label)	50 0005 3849 7192 	
PS Form 3811. February 2004 Domestic Ret	urn Receipt 102595-02-M-1540	

THE HONORABLE RONALD B. LEIGHTON

#### UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON AT TACOMA

MARLENE ELLIOTT, a single individual,

Plaintiff,

UNITED PARCEL SERVICE, INC., a foreign corporation,

 $V_{+}$ 

Defendant.

No. C07-05453 RBL

FIRST AMENDED NOTICE OF RESCHEDULED VIDEOTAPED DEPOSITION UPON ORAL EXAMINATION OF MARLENE ELLIOTT

TO: MARLENE ELLIOTT, Plaintiff

YOU WILL PLEASE TAKE NOTICE that the deposition of the following individual will be taken upon oral examination at the instance and request of defendant United Parcel Service, Inc. in the above-entitled action, before a Notary Public, at the offices of Perkins Coie, LLP, 1201 Third Avenue, Suite 4800, Seattle, WA 98101:

#### Deponent

### **Date and Time**

Marlene Elliott

December 18, 2008, 9:30 a.m.

The testimony will be recorded by stenographic means and videotaped. The oral examination will be subject to continuance or adjournment from time to time or place to place

# Declaration of L. Solis -34

## Exhibit E

1ST AM. NOTICE OF DEPOSITION UPON ORAL EXAMINATION OF MARLENE ELLIOTT (NO. C07-05453) – 1

Perkins Coie LLP 1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 Phone: 206.359.8000 Fax: 206.359.9000

00895-1076/LEGAL14623629.2

until completed, and will be taken on the ground and for the reason that the witness will give evidence material to defendant's case.

DATED: December 10, 2008

PERKINS COIE LLP

Ву:

Michael T. Reynvaan, WSBA No. 12943

MReynvaan@perkinscoie.com Laura M. Solis, WSBA No. 36005

LSolis@perkinscoie.com 1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099

Telephone: 206.359.8000 Facsimile: 206.359.9000

Attorneys for Defendant UNITED PARCEL SERVICE, INC.

Declaration of L. Solis -35

NOTICE OF DEPOSITION UPON ORAL EXAMINATION OF MARLENE ELLIOTT (NO. C07-05453) – 2 00895-1076/LEGAL14623629.2

Perkins Coie LLP 1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 Phone: 206.359.8000

Fax: 206.359.9000

#### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that she served a copy of the foregoing FIRST AMENDED NOTICE OF RESCHEDULED VIDEOTAPED DEPOSITION UPON ORAL EXAMINATION OF MARLENE ELLIOTT to the following via U.S. First Class and Certified Mail, postage prepaid, on December 10, 2008:

Ms. Marlene Elliott 30930 - 16th Place S.W., #C Federal Way, WA 98023 Plaintiff

> Steve Herchelrode Legal Secretary

Declaration of L. Solis -36

00895-1076/LEGAL14623629.2